

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**  
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.  
4967.00

In Re Application Of:

ULLMAN et al.

Serial No.  
09/409,305

Filing Date  
September 29, 1999

Examiner  
Not Yet Assigned

Group Art Unit  
2782

Title:

ENHANCED VIDEO PROGRAMMING SYSTEM AND METHOD UTILIZING USER-PROFILE INFORMATION

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Technology Center 2100

Address to:

Assistant Commissioner for Patents  
Washington, D.C. 20231

**37 CFR 1.97(b)**

1. ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application; within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; or before the mailing date of a first Office Action on the merits, whichever event occurs last.

**37 CFR 1.97(c)**

2. ☐ The Information Disclosure Statement submitted herewith is being filed after three months of the filing of a national application, or the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; or after the mailing date of a first Office Action on the merits, whichever occurred last but before the mailing date of either:

1. a Final Action under 37 CFR 1.113, or
  2. a Notice of Allowance under 37 CFR 1.311,
- whichever occurs first.

Also submitted herewith is:

- ☐ a certification as specified in 37 CFR 1.97(e);

**OR**

- ☐ the fee set forth in 37 CFR 1.17(p) for submission of an Information Disclosure Statement under 37 CFR 1.97(c).

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**Payment of Fee**

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of \_\_\_\_\_ is attached.
- ☒ The Assistant Commissioner is hereby authorized to charge and credit Deposit Account No. 04-1415 as described below. A duplicate copy of this sheet is enclosed.
- ☐ Charge the amount of \_\_\_\_\_
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*Lee R. Osman*  
Signature

Dated: 2/27/01

Lee R. Osman, Esq.  
Registration No. 38,260  
DORSEY & WHITNEY LLP  
Customer No. 20686

cc: Scott W. Doyle, Esq., ACTV, Inc. (w/ encl.)

P10A/REV01

**CERTIFICATE OF MAILING BY "EXPRESS MAIL" (37 CFR 1.10)**

Applicant(s): ULLMAN et al.

2182  
Docket No. 144  
S. 3/8/01

4967.00

Serial No.

09/409,305

Filing Date

September 29, 1999

Examiner

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2782

Invention:

ENHANCED VIDEO PROGRAMMING SYSTEM AND METHOD UTILIZING  
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I hereby certify that the following correspondence:

Transmittal of Information Disclosure Statement; Information Disclosure Citation (1 page); copies of 8 U.S. patents;  
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(Identify type of correspondence)

is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under  
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Jane Lalit/Dorsey & Whitney LLP

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